UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

36067

7590

12/08/2009

DALINA LAW GROUP, P.C. 7910 IVANHOE AVE. #325 LA JOLLA, CA 92037 EXAMINER

ADAMS, BRET W

ART UNIT PAPER NUMBER

2862 DATE MAILED: 12/08/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/584.959	04/30/2007	Greg Koennecke	1075-P0002	9721

TITLE OF INVENTION: DEVICES TO FACILITATE ALIGNMENT AND FOCUSING OF A FUNDUS CAMERA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/08/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte maintenance fee notificat		ng the Patent, advance on herwise in Block 1, by (a	rders and notification of nation of nation a) specifying a new corres	naintenance fees w pondence address;	ill be i and/or	mailed to the current (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for
	ENCE ADDRESS (Note: Use Bl	Fee(	s) Transmittal. This rs. Each additional	certifi paper,	icate cannot be used for	r domestic mailings of the or any other accompanying nt or formal drawing, must	
36067 7590 12/08/2009  DALINA LAW GROUP, P.C. 7910 IVANHOE AVE. #325  LA JOLLA, CA 92037				Cort	ificato	of Mailing or Trans	nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/584,959 ITTLE OF INVENTION	04/30/2007 EDEVICES TO FACILI	TATE ALIGNMENT AN	Greg Koennecke ND FOCUSING OF A FUN	IDUS CAMERA		1075-P0002	9721
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0		\$1810	03/08/2010
EXAM	INER	ART UNIT	CLASS-SUBCLASS	ì			
ADAMS,	BRET W	2862	396-018000				
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A PLEASE NOTE: Unl recordation as set fort!  (A) NAME OF ASSIG	ND RESIDENCE DATA less an assignee is identi h in 37 CFR 3.11. Comp GNEE	"Indication form led. Use of a Customer A TO BE PRINTED ON T ified below, no assignee oletion of this form is NO	(1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be THE PATENT (print or typ data will appear on the patent at the patent at the patent at the patent at the patent appear on the patent as substitute for filing and (B) RESIDENCE: (CITY)	rely, e firm (having as a gent) and the name riers or agents. If r printed.  e) atent. If an assigner assignment. and STATE OR Co	members of up to nam	er a 2	
			rinted on the patent):			1 0	
	are submitted: To small entity discount p # of Copies	permitted)	4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
a. Applicant claims	<b>tus</b> (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.	☐ b. Applicant is no long				
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requee records of the United Sta	uired) will not be accepted tes Patent and Trademark	d from anyone other than the Office.	ne applicant; a regis	tered a	ttorney or agent; or th	e assignee or other party in
Authorized Signature				Date			
Typed or printed name				o .			
This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450. Alexandria, V	ation is required by 37 C tiality is governed by 35 d application form to the ons for reducing this but fireinia 22313-1450 DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary to NOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 n idual case. Any col r, U.S. Patent and D.THIS ADDRESS	ne publ ninutes mment Fraden SENI	ic which is to file (and to complete, including s on the amount of tin ark Office, U.S. Depa D.TO: Commissioner f	by the USPTO to process) g gathering, preparing, and ne you require to complete urtment of Commerce, P.O. for Patents P.O. Boy 1450.

Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR ATTORNEY DOCKET N		CONFIRMATION NO.
10/584,959 04/30/2007		Greg Koennecke	1075-P0002 9721	
36067 75	590 12/08/2009		EXAM	INER
DALINA LAW	GROUP, P.C.	ADAMS, BRET W		
7910 IVANHOE A		ART UNIT PAPER NUMBER		
LA JOLLA, CA 92037			2862	
		DATE MAILED: 12/08/2009		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 312 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 312 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)				
	10/584,959	KOENNECKE, GREG				
Notice of Allowability	Examiner	Art Unit				
	BRET ADAMS	2862				
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>				
1. This communication is responsive to <i>claims filed 7/14/09</i> .						
2. X The allowed claim(s) is/are <u>1-6</u> .						
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority una)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> <li>3.  Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> </ul>	been received. been received in Application No					
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	et be submitted.					
(a) $\square$ including changes required by the Notice of Draftspers	(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached					
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date						
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date						
ldentifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t						
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.</li> </ol>						
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit	5. ☐ Notice of Informal Posts of Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amendn	(PTO-413), e				
of Biological Material	9.  Other					

#### **DETAILED ACTION**

1. This action is in response to claims filed 7/14/2009.

## **Drawings**

2. The drawings were received on 7/14/09. These drawings are acceptable.

## Allowable Subject Matter

- 3. Claims 1-6 are allowed.
- 4. The following is an examiner's statement of reasons for allowance:
- 5. The primary reason for allowability is that the prior art does not teach or suggest a fundus camera with a focus aid mark projecting system including a moving focus aid mark focusing lens configured to move to adjust the focus of a focus aid mark, wherein the focus aid mark focusing lens is coupled to the imaging focus adjustment lens so as to move with that lens, and a focus aid mark projecting system with a light source and condenser lens, wherein the light source and condenser lens are not coupled to the imaging focus adjustment lens, as set forth in the claimed combination of claim 1.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BRET ADAMS whose telephone number is (571)270-5028. The examiner can normally be reached on M-F 8am-5pm.

Application/Control Number: 10/584,959 Page 3

Art Unit: 2862

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Clayton Laballe can be reached on (571) 272-1594. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/W.B. Perkey/ for Clayton E. LaBalle, SPE of Art Unit 2862

/BRET ADAMS/ Examiner, Art Unit 2862